Docket No.

239785US3

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Masahiro YAMAGATA

SERIAL NO: New Application

GAU:

FILED:

Herewith

**EXAMINER:** 

FOR:

WASTE HIGH-PRESSURE FLUID PROCESSING METHOD

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

C. Irvin McClelland

Registration No. 21,124

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Masahiro YAMAGATA

SERIAL NO.: New Application

FILED:

Herewith

FOR:

WASTE HIGH-PRESSURE FLUID PROCESSING METHOD

# **STATEMENT OF RELEVANCY**

## Reference AO (3010099) of Form PTO-1449:

See Figs. 1, 2.

A supercritical fluid extractor is described in this prior art. Liquid carbon dioxide is used as a supercritical fluid, and water is used as a dissolution auxiliary. The supercritical fluid extractor consisting of a separation means has separators for separating and recovering the extraction components extracted into solvent. The separation means is provided with plural separators, that is, a 1<sup>st</sup> separator for separating the extraction components, a 2<sup>nd</sup> separator for removing the extraction components not separated and recovered by the 1<sup>st</sup> separator and water, a 3<sup>rd</sup> separator for removing the residue not separated and recovered by the separators and a 4<sup>th</sup> separator for removing the trace moisture.

# Reference AP (2000-223467) of Form PTO-1449:

See Fig. 1.

A method of drying the substrate using carbon dioxide converted into supercritical fluid is described in this prior art. The substrate is held in a reaction chamber into which a specified quantity of liquefied carbon dioxide is fed from a cylinder by means of a pump unit. Pressure of carbon dioxide in the reaction chamber is controlled automatically. Carbon dioxide in the reaction chamber is converted into supercritical fluid and discharged therefrom thus reducing the pressure and drying the substrate.

Form PTO 1449		U.S. DEPARTMENT	OF COMMERCE	ATTY DOCKET NO. 239785US3		SERIAL NO. New Application	
(Modified)		PATENT AND TRA	DEMARK OFFICE				
				APPLICANT			
LIST OF	REFE	RENCES CITED BY AF	PPLICANT	Masahiro YAMAGATA			
				FILING DATE		GROUP	
				Herewith			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS SUB FILING DATE CLASS IF APPROPRIATE	
	AA	2002/0132192 A1	09/19/02	Hideo NAMATSU			
	AB	6,358,673 B1	03/19/02	Hideo NAMATSU			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	Al						
	AJ	<del></del>					V
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	YES		TRANSLATION S NO
	AO	3010099	02/14/00	Japan			X
	AP	2000-223467	08/11/00	Japan			Х
	AQ	EP 0 992 852 A2	04/12/00	Europe			
	AR	WO 01/78911 A1	10/25/01	WIPO			
	AS						
	AT						
	ΑU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	ΑZ				Additional References sheet(s) attached		
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							